

# Stephanie Schuller

CPA

Stephanie Schuller is a senior manager of the Operational Risk Management team, which is responsible for the ongoing process of identifying, analyzing, and mitigating current and future risks of H.D. Vest.

Stephanie joined H.D. Vest in March of 1999 as an internal auditor. Shortly after joining Vest, Stephanie had the opportunity to join the Operations department during the implementation of systems and controls surrounding the mutual fund back office system. Then, after re-joining the internal audit group, Stephanie spent several years developing the technology quality control department to review technology development initiatives. As H.D. Vest was acquired by Wells Fargo, Stephanie moved the focus of her department to Operational Risk Management, which included formalizing the risk, control and testing process and focusing on security, privacy, and other operational risk areas.

Before coming to H.D. Vest, Stephanie led an audit team at PriceWaterhouseCoopers. She had four years of experience in risks, controls, and financial audits for several large publicly traded companies.

Stephanie is licensed as a Certified Public Accountant, general securities representative, and general securities principal. She received her bachelor of business administration degree in accounting from Texas A&M University. She is also a member of the American Institute of Certified Public Accountants.

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