

# Neal Heifetz

Neal Heifetz is the executive manager of operations for H.D. Vest Financial Services®. Neal is responsible for the activities of all annuity, brokerage, and mutual fund operations of the firm, which currently manages assets of nearly 30 billion through a network of 4,800 independent Advisors. In addition, he manages various support functions including Document Control, Operations Support, Licensing, Commissions, and Managed Products. Neal is a member of the executive management committee that establishes strategic business planning for the firm. Neal holds the title of Assistant Secretary with H.D. Vest, Inc. and four of its subsidiaries.

Neal has been with H.D. Vest since 1996, and has been in the financial services industry since 1979. Prior to joining H.D. Vest, Neal was the director of operations at Corlink Financial and held management positions at various financial institutions including banks, trust companies, transfer agents and custodians.

Neal earned his Bachelor of Science degree in Accountancy from Bentley College. Neal is a general securities representative, a registered investment adviser, general securities principal and municipal securities principal.

Neal is a member of the Securities Industry and Financial Markets Association, the National Investment Company Service association, the Dallas Securities Traders Association and Securities Operations Division.

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